PTO Form 1957 (Rev 9/2005)

OMB No. 0651-0050 (Exp. 04/2009)

## **Response to Office Action**

#### The table below presents the data as entered.

Input Field	Entered			
SERIAL NUMBER	78764280			
LAW OFFICE ASSIGNED	LAW OFFICE 106			
MARK SECTION (no change)				
ARGUMENT(S)				

Commissioner for Trademarks P.O. Box 1451 Alexandria, VA 22313-1451

#### REQUEST FOR RECONSIDERATION

In the Office Action dated January 9, 2007, the Examining Attorney maintains the refusal of registration on the basis of likelihood of confusion with U.S. Registration No. 1331784 for the mark ROSS VALVE in the name of Ross Valve Mfg. Co., Inc. ("Registrant") and the requirements regarding the identification of goods and services. For the following reasons, Applicant request the examining attorney to reconsider his position.

The Examining Attorney takes into account the factors cited In re E.I. DuPont de Nemours & Co., 177 USPQ 563 (CCPA 1973), namely, the two part likelihood of confusion analysis in which the marks are compared for similarities in appearance, connotation, and commercial impression, and second the goods and services are compared to determine whether they are similar or related or whether the activities surrounding their marketing are such that confusion as to origin is likely. For the reasons set forth below it is highly unlikely that confusion will occur.

Applicant and Registrant have co-existed in the marketplace of over 85 years. Applicant began using the mark ROSS in 1921 and has co-existed with Registrant for those 85 years. Applicant and Registrant have worked together of many years cooperatively to erode any customer confusion that may have existed. In fact, no known instances of actual confusion have occurred since applicant commenced use of its core mark ROSS and in particular, since 1995 when applicant commenced use of the mark "ROSS CONTROLS." Furthermore, in an effort to alleviate any confusion, Applicant and Registrant have worked together to eliminate or minimize any such confusion to consumers in the marketplace, including placing cross references to each other on their respective websites. Attached as Exhibit A are examples of the cross references.

Moreover, Registrant did not object to Applicant's prior active registration Nos. 1,195,003 for ROSS

or 2,025,126 for ROSS/FLEX; or inactive registration No. 2155944 for ROSS CONTROLS, which was inadvertently cancelled due to internal changes within Applicant. Attached as Exhibit B are a copies of certificates of registration.

In refusing registration, the Examining Attorney dissects and dismisses the "CONTROLS" element within Applicant's mark ROSS CONTROLS, as well as the "VALVE" element within Registrant's mark, ROSS VALVE. The terms CONTROLS and VALVE have been disclaimed in each mark and then concludes that the marks are likely to be confused by consumers based on the term ROSS. The technicality of a disclaimer in an application or registration has no legal effect on the issue of likelihood of confusion, since the public is unaware of what words have been disclaimed during prosecution. See In re National Data Corporation, 224 USPQ 749 (Fed Cir. 1985). If the marks are compared in their entireties the appearance, connotation, and sound do not lead to a likelihood of confusion.

Consumers seeking out Applicant's goods and services or Registrant's good or services will not be confused as the source of the goods or services because consumers seeking the products of either company are sophisticated and the channels of trade in which each company operates are different. Registrant sells valves that control municipal water flow and such valves are sold to municipal water authorities or civil engineering firms that repair water systems. Applicant sells valves to the steel, aluminum, press, safety, paint, and glass industries. Applicant does not sell goods or services through ordinary means. Applicant's goods/services have to be ordered directly through Applicant or through its distribution network. Applicant and Registrant serve different markets, namely Applicant sells to consumers who need a press or safety valve and Registrant sells water flow control valves. Applicant and Registrant do not attend any of the same trade shows nor advertise in the same publications. Given that the consumers of the products are sophisticated and the channels of trader are very different it is highly unlikely that any confusion between the marks or products would results.

Moreover, a substantial factor weighing against the likelihood of confusion is the difference between the parties' goods and services. The cited ROSS VALVE registration covers "Mechanical Water Supply Valves for Municipal and Industrial Potable Drinking Water" and "Water Supply and Control Valves for Municipal and Industrial Potable Drinking Water-Namely, Automatic Hydraulic Valves," whereas Applicant's goods and services were listed as "Fluid control system for regulating the pressure and flow of fluid in industrial applications composed of fluid control valves, manifolds, couplings, conduits, cylinders, filters, lubricators, regulators, mufflers and pressure gauges, sold as a unit; components for regulating the pressure and flow of fluid in industrial applications, namely, fluid control valves, fluid control regulators and pressure gauges," as well as "Engineering services relating to the specifying of, the selection of, the reporting on, and the design, engineering, production, risk assessment and reduction, control integrity, safety standards and installation supervision of fluid control systems and components, namely, fluid control valves, manifolds, couplings, conduits, cylinders, filters, lubricators, regulators, mufflers and pressure gauges."

Registrant's goods are limited to mechanical valves for potable water used in municipal and industrial potable water systems. Applicant's goods and services are specifically towards industrial pneumatic (air) systems and parts, not water systems, and not potable water systems in particular. A finding that each party manufactures valves for the purposes of a likelihood of confusion analysis is not supported by substantial evidence, since the mere fact that there are they are different types of valves has no relevance to whether a consumer would believe that the products emanate from the same source since the Applicant's valves are used in very different applications from Registrant's valve use. See Shen Manufacturing Co. v. Ritz Hotel Ltd., 73 USPQ2d 1350 (Fed Cir. 2004). As stated above, Applicant's customers are more than sophisticated enough to seek and purchase pneumatic valves from Applicant, as opposed to purchasing potable water valves offered by Registrant. Moreover, it is highly unlikely

that a consumer would mistake a safety valve from the Applicant that prevents fingers from getting stamped off on a press with a water shut-off or drainage valve sold by Registrant. The products of the Applicant and Registrant are part of distinct sectors of a broad product category that are sufficiently unrelated. Consumers are not likely to assume they originate from the same source. See Checkpoint Systems Inc. v. Check Point Software Technologies Inc., 60 USPQ2d 1609 (3<sup>rd</sup> Cir. 2001). It is in appropriate to presume the relatedness of the goods and services on the basis of the goods both being valves and since both parties activities are limited to different systems or applications.. See M2Software Inc. v M2 Communications Inc., 78 USPQ2d 1944 (Fed Cir. 2006).

#### Identification of Goods & Services

Applicant requests the examining attorney to reconsider the request for amendment. The current goods and services are taken directly from a prior registration, namely registration No. 1195003 which were adopted after consulting with the examining attorney and previously accepted by the US PTO. Applicant is perplexed as to why goods previously adopted and accepted goods and services would not be accepted in a case that was simply re-filed.

Given that there have not been any actual known instance of confusion; that Registrant did not object to Applicant's prior registrations including No. 2,155,944 for ROSS CONTROLS that inadvertently lapsed; and that Registrant has not objected to the Applicant's use of the term ROSS or ROSS CONTROLS, Applicant respectively request the examining attorney to reconsider his final refusal and pass the application to publication. Publication of the mark will also allow of any interested third party, including the Registrant if it so desires, to object to Applicant's use and registration of the mark.

#### Respectively Submitted

EVIDENCE SECTION	VIDENCE SECTION			
EVIDENCE FILE NAME(S	)			
ORIGINAL PDF FILE	http://teasgate/PDF/ROA/2007/07/09/20070709170129040515-78764280-001_001/evi_69222245190-165312387exhibit_ab_ross_controls_reconsideration.pdf			
CONVERTED PDF FILE(S) (11 pages)	\\TICRS2\EXPORT13\787\642\78764280\xm11\ROA0002.JP G			
	\\TICRS2\EXPORT13\787\642\78764280\xml1\ROA0003.JP G			
	\\TICRS2\EXPORT13\787\642\78764280\xml1\ROA0004.JP G			
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	\\TICRS2\EXPORT13\787\642\78764280\xml1\ROA0011.JP G		
	\\TICRS2\EXPORT13\787\642\78764280\xml1\ROA0012.JP G		
DESCRIPTION OF EVIDENCE FILE	Exhibits A & B - copies of TESS records and certificates of registration and website pages.		
SIGNATURE SECTION			
RESPONSE SIGNATURE	/Jennifer Sheehan Anderson/		
SIGNATORY'S NAME	Jennifer Sheehan Anderson		
SIGNATORY'S POSITION	Attorney for Applicant		
DATE SIGNED	07/09/2007		
AUTHORIZED SIGNATORY	YES		
FILING INFORMATION SECTION	ON		
SUBMIT DATE	Mon Jul 09 17:01:29 EDT 2007		
TEAS STAMP	USPTO/ROA-69.222.245.190- 20070709170129040515-7876 4280-370deba16cca74afd08c 6229d211978b5-N/A-N/A-200 70709165312387359		

PTO Form 1957 (Rev 9/2005)
OMB No. 0651-0050 (Exp. 04/2009)

### Response to Office Action

### To the Commissioner for Trademarks:

Application serial no. 78764280 has been amended as follows: Argument(s)

In response to the substantive refusal(s), please note the following:

Commissioner for Trademarks P.O. Box 1451 Alexandria, VA 22313-1451

#### REQUEST FOR RECONSIDERATION

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pass the application to publication. Publication of the mark will also allow of any interested third party, including the Registrant if it so desires, to object to Applicant's use and registration of the mark.

#### Respectively Submitted

#### Evidence

Evidence in the nature of Exhibits A & B - copies of TESS records and certificates of registration and website pages. has been attached.

Original PDF file:

 $http://teasgate/PDF/ROA/2007/07/09/20070709170129040515-78764280-001\_001/evi\_69222245190-165312387\_. exhibit\_a\_\_b\_ross\_controls\_reconsideration.pdf$ 

Converted PDF file(s) (11 pages)

Evidence-1

Evidence-2

Evidence-3

Evidence-4

Evidence-5

Evidence-6

Evidence-7

Evidence-8

Evidence-9

Evidence-10

Evidence-11

Response Signature

Signature: /Jennifer Sheehan Anderson/ Date: 07/09/2007

Signatory's Name: Jennifer Sheehan Anderson Signatory's Position: Attorney for Applicant

The signatory has confirmed that he/she is an attorney who is a member in good standing of the bar of the highest court of a U.S. state, which includes the District of Columbia, Puerto Rico, and other federal territories and possessions; and he/she is currently the applicant's attorney or an associate thereof; and to the best of his/her knowledge, if prior to his/her appointment another U.S. attorney or a Canadian attorney/agent not currently associated with his/her company/firm previously represented the applicant in this matter: (1) the applicant has filed or is concurrently filing a signed revocation of or substitute power of attorney with the USPTO; (2) the USPTO has granted the request of the prior representative to withdraw; (3) the applicant has filed a power of attorney appointing him/her in this matter; or (4) the applicant's appointed U.S. attorney or Canadian attorney/agent has filed a power of attorney appointing him/her as an associate attorney in this matter.

Serial Number: 78764280

Internet Transmission Date: Mon Jul 09 17:01:29 EDT 2007 TEAS Stamp: USPTO/ROA-69.222.245.190-200707091701290

40515-78764280-370deba16cca74afd08c6229d 211978b5-N/A-N/A-20070709165312387359

# EXHIBIT A

Int. Cl.: 7

Prior U.S. Cl.: 23

**United States Patent and Trademark Office** 

Reg. No. 1,195,003 Registered May 11, 1982

## TRADEMARK Principal Register

#### **ROSS**

Ross Operating Valve Company (Michigan corporation)
120 E. Golden Gate Ave.
Detroit, Mich. 48203

For: INDUSTRIAL PNEUMATIC CONTROL ELEMENTS FOR AIR SYSTEMS—NAMELY, CONDITIONING, DIRECTIONAL, ACCESSORY, SOLENOID PILOT, SOLENOID DIRECT, REMOTE AIR OPERATOR AND OTHER PNEUMATIC VALVES, in CLASS 7 (U.S. Cl. 23). First use 1921; in commerce 1921.

Ser. No. 142,239, filed Sep. 22, 1977.

A. D. HOOKS, Primary Examiner



#### **United States Patent and Trademark Office**

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## Trademarks > Trademark Electronic Search System(Tess)

TESS was last updated on Sat Jul 7 04:08:44 EDT 2007

TESS HOME			FORM BROWSE DICT		Воттом 1	PREVIOSI	CURR LIST
Logout	Please lo	gout when y	ou are done t	o release sy	/stem resou	urces allocated	d for you.
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TARRSta Browse		Il Status to TESS)	TOR TTA	AB Status	Jse the "B	ack" button o	f the Internet

#### Typed Drawing

**Word Mark** 

ROSS

Goods and Services

IC 007. US 023. G & S: Industrial Pneumatic Control Elements for Air Systems-Namely, Conditioning, Directional, Accessory, Solenoid Pilot, Solenoid Direct, Remote Air Operator and Other Pneumatic Valves. FIRST USE: 19210000. FIRST USE IN COMMERCE: 19210000

Mark Drawing

Code

(1) TYPED DRAWING

**Design Search** 

Code

Serial Number

73142239

Filing Date

September 22, 1977

**Current Filing** 

**Basis** 

**Original Filing** Basis

1A

Published for

January 20, 1981

Opposition

Registration

1195003

Number Registration

Date

May 11, 1982

Owner

(REGISTRANT) Ross Operating Valve Company CORPORATION MICHIGAN 1250 Stephenson

Highway Troy MICHIGAN 48083

Attorney of Record

Michael A. Lisi and Jennifer Sheehan Anderson

Type of Mark

TRADEMARK

Register

**PRINCIPAL** 

Affidavit Text

SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20020725.

Renewal

1ST RENEWAL 20020725

Live/Dead Indicator	LIV	<b>E</b>							
			FREE FORM 6		Тог	HELP	PREV LIST	CURR LIST	
NEXT LIST	First Doc	PREV DOC	NEXT DOC	LAST DOC					·····

Int, Cls.: 40 and 42

Prior U.S. Cls.: 100, 101, 103 and 106

United States Patent and Trademark Office

Corrected

5-0-1993.

Reg. No. 2,025,126 Registered Dec. 24, 1996 OG Date Sep. 23, 1997

#### **SERVICE MARK** PRINCIPAL REGISTER

#### ROSS/FLEX

ROSS OPERATING VALVE COMPANY (MICHIGAN CORPORATION), DBA ROSS CONTROLS, 1250 KIRTS BOULEVARD TROY, MI 48007

FOR: CUSTOM MANUFACTURE OF PNEUMATIC VALVES, PNEUMATIC CONTROL SYSTEMS AND COMPONENTS THEREOF, IN CLASS 40 (U.S. CLS. 100, 103 AND 106).
FIRST USE 4-19-1993; IN COMMERCE 5.0.1991

FOR: ENGINEERING SERVICES AND [CUTOM] \* CUSTOM \* DESIGN SERVICES FOR OTHERS, IN THE FIELD OF PNEUMATIC VALVES AND CONTROL SYSTEMS, AND COMPONENTS THERE-OF, IN CLASS 42 (U.S. CLS. 100 AND 101) 101).

FIRST USE 4-19-1993; IN COMMERCE 5-0-1993.

SER. NO. 74-716,649, FILED 8-15-1995.

In testimony whereof I have hereunto set my hand and caused the seal of The Patent and Trademark Office to be affixed on Sep. 23, 1997.

COMMISSIONER OF PATENTS AND TRADEMARKS



#### United States Patent and Trademark Office

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## Trademarks > Trademark Electronic Search System(Tess)

TESS was last updated on Sat Jul 7 04:08:44 EDT 2007

FREE FORM BROWSE DICT SEARCH OG PREV LIST HELP Воттом **NEW USER** STRUCTURED NEXT DOC **LAST DOC** PREV DOC FIRST DOC NEXT LIST Please logout when you are done to release system resources allocated for you. Record 9 out of 30 OR Jump to record: ( Use the "Back" button of the Internet **ASSIGN Status** TDR **TARR Status** Browser to return to TESS)

#### **Typed Drawing**

**Word Mark** 

ROSS/FLEX

Goods and Services

IC 040. US 100 103 106. G & S: custom manufacture of pneumatic valves, pneumatic control systems and components thereof. FIRST USE: 19930419. FIRST USE IN COMMERCE:

19930500

IC 042. US 100 101. G & S: engineering services and [ cutom ] \* custom \* design services for others, in the field of pneumatic valves and control systems, and components thereof. FIRST USE:

19930419. FIRST USE IN COMMERCE: 19930500

Mark Drawing

Code

(1) TYPED DRAWING

**Design Search** 

Code

Serial Number

74716649

Filing Date

August 15, 1995

October 1, 1996

**Current Filing** 

Basis

1A

Original Filing

Rasis

1A

**Published for** 

Opposition

CHANGE IN REGISTRATION HAS OCCURRED

Change In Registration Registration

2025126

Number

Registration Date

December 24, 1996

Owner

(REGISTRANT) Ross Operating Valve Company DBA Ross Controls CORPORATION

MICHIGAN 1250 Stephenson Highway Troy MICHIGAN 48083

Attorney of

Record

Michael A. Lisi and Jennifer Sheehan Anderson

Type of Mark

SERVICE MARK

Register

**PRINCIPAL** 

Affidavit Text

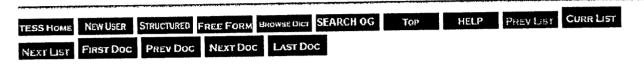
SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20060915.

Renewal ·

1ST RENEWAL 20060915

Live/Dead Indicator

LIVE



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Int. Cls.: 9 and 42

Prior U.S. Cls.: 21, 23, 26, 36, 38, 100 and 101

Reg. No. 2,155,944

## United States Patent and Trademark Office

Registered May 12, 1998

#### TRADEMARK SERVICE MARK PRINCIPAL REGISTER

#### ROSS CONTROLS

ROSS OPERATING VALVE COMPANY (MICHIGAN CORPORATION), DBA ROSS CONTROLS
1250 KIRTS BOULEVARD TROY, MI 48007

FOR: PNUEUMATIC CONTROL SYSTEMS FOR REGULATING THE PRESSURE AND FLOW OF COMPRESSED AIR IN INDUSTRIAL APPLICATIONS COMPOSED OF PNEUMATIC MANIFOLDS, CONTROL VALVES, PLINGS, CONDUITS, CYLINDERS, FILTERS, LUBRICATORS, REGULATORS, MUFFLERS AND PRESSURE GAUGES, SOLD AS A UNIT; COMPONENTS FOR REGULATING THE PRES-SURE AND FLOW OF COMPRESSED AIR IN APPLICATIONS, INDUSTRIAL PNEUMATIC CONTROL VALVES, AIR FLOW REGULATORS AND PRESSURE GAGES, IN CLASS 9 (U.S. CLS. 21, 23, 26, 36 AND 38).

FIRST USE 1-0-1995; IN COMMERCE 1-0-1995.

FOR: ENGINEERING SERVICES RELATING TO THE SPECIFYING OF, THE SELECTION OF, THE REPORTING ON, AND THE DESIGN, ENGINEERING, PRODUCTION, AND INSTALLATION SUPERVISION OF THE PNEUMATIC SYSTEMS AND COMPONENTS DESCRIBED ABOVE, IN CLASS 42 (U.S. CLS. 100 AND 101). FIRST USE 1-0-1995; IN COMMERCE 1-0-1995.

OWNER OF U.S. REG. NO. 1,195,003.

NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE "CONTROLS", APART FROM THE MARK AS SHOWN.

SER. NO. 74-686,436, FILED 5-31-1995.

ANDREW BAXLEY, EXAMINING ATTORNEY

## EXHIBIT B

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#### lome

- O What's New
- O Customer Letters

/alves for Water Systems

Ask Ross Valve 🕏 Service Inquiry **ProductInquiry** Send a Catalog

For over 120 years, Ross Valve has been committed to excellence in designing, manufacturing and servicing automatic control valves for water systems.



From irrigation to water works to fire control, Ross Valve can provide you with the products, service and support to meet the needs of any of your water systems' applications. View our on-line catalog or contact us to determine the best Ross Valve for your system.

#### The Ross Advantage

"Purchasing a control valve should be treated as a lifetime investment due to the long life of the product. Beware of the 'throw away' designs. Ross Valve offers many advantages to our customers in the way of knowledge and experience. When you purchase a valve from Ross Valve, you will have the peace of mind of knowing that our company will be there to serve you in the decades to come. This is the Ross Advantage - now and in the future."

#### **Got Cavitation?**



If your applications encounter cavitation, you know the symptoms: that loud screeching noise that lets you know something is wrong. And something is wrong, because cavitation isn't just a nuisance problem. Over time, it erodes the valve, destroying it from the inside out and necessitating a repair that involves downtime and significant expense.

Download the Ross Valve WaterTamer brochure or contact us to find out more about our anticavitation solutions.

## Looking for Ross Controls? (Pneumatic and Solenoid Valves)



Ross Valve Mfg. Co., Inc. is not related at all to Ross Controls of Troy, Michigan. To access their site click on the logo below.





#### **Ross News**

Spanish Web Site Visit our Web

Site in Spanish



#### Pre-Packaged Valve Vaults

Download the Valve Vaults brochure.

Multi-Orifice Valve Download the MOV brochure.

Wastewater valve line! Pump Control & Surge Anticipator valves now available for wastewater applications. Download the Wastewater brochure

Prevent Cavitation WaterTamer brochure

PLC Control Panel Our MC2001 series. more

6 Oakwood Avenue • P.O. Box 595 • Troy, NY 12181 Tel 518-274-0961 • Fax 518-274-0210

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## Frequently Asked Questions

Got a question about ROSS valves? Most likely the question has been asked before. There are some questions that we get asked quite often, so we have compiled a list of several common questions ... and the answers... and placed them here so you can browse through them. Click on one of the links below to see if your question has already been answered. If you still don't find what you are looking for, then please contact us.

General
ANSI Valves
SAE (Automotive) Valves
ISO Valves
Double Valves
Line Mount Valves

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Looking for Ross Valve in Troy, NY?

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ROSS Employee Training

